<u>REMARKS</u>

I. Status of the Claims

Claims 30-53 are pending. Claims 47-53 have been withdrawn by the Examiner as being allegedly drawn to a non-elected invention. As such, only claims 30-46 have been examined. No claim is amended.

II. Information Disclosure Statement

Applicants note that the Examiner has not considered and initialed on the Form PTO-1449 document #17 (an article by Ram et al.) of the IDS filed 8/24/01. This article is in English and was submitted with the IDS, so it should have been considered. However, for the Examiner's convenience, Applicants enclose another copy of Ram et al. and ask the Examiner to consider it and return to Applicants an initialed Form PTO-1449 with the next Office Action.

III. Restriction Requirement

The Examiner has required restriction between the following groups of claims:

Group I Claims 30-46, drawn to a ready-to-use composition for the oxidation dyeing of keratin fibers, classified in class 8, subclass 406.

Group II Claims 47-50, drawn to a process of reshaping keratin fibers, classified in class 424, subclass 70.22.

Group III Claims 51-53, drawn to a process of bleaching keratin fibers classified in class 424, subclass 62.

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The restriction requirement is respectfully traversed. To be responsive, however, Applicants affirm their election of the subject matter of Group I, claims 30-46, with traverse.

The Examiner bases the restriction on the grounds that "[t]he process of formulating a hair coloring composition as claimed could be used to make other and materially different product such as one used to reshape hair." Office Action, page 2.

Applicants refer the Examiner to M.P.E.P. § 803, which sets forth the criteria and guidelines for Examiners to follow in making proper requirements for restriction. The M.P.E.P. instructs the Examiner as follows:

If the search and examination of an entire application can be made without serious burden, the Office must examine it on the merits, even though it includes claims to distinct or independent inventions.

M.P.E.P. § 803.

Since the claims in each group recite nearly identical ingredients (the enzyme, the donor and the anionic surfactant), there would not be a serious burden to search all three groups of claims.

The restriction requirement is also improper because it is not clear what the Examiner means when she refers to a "process of formulating a hair coloring composition." Such a process is not claimed here. Finally, the classification of at least Group III appears to be improper; it should be classified in class 8/subclass 406 since that class/subclass includes both compositions and processes, and also includes bleaching and dyeing (see attached from the Classification Index).

Thus, for the above reasons, Applicants respectfully submit that the restriction requirement is in error and request that the requirement be withdrawn.

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IV. Rejections Under 35 U.S.C. § 103(a)

Rejection Over Tomura et al.

The Examiner rejected claims 30-46 under 35 U.S.C. § 103(a) as unpatentable over U.S. Patent 6,207,719 to Tomura et al. (herein referred to as "Tomura").

Applicants respectfully traverse.

The present invention relates to a ready-to-use compositions for the oxidation dyeing of keratin fibers comprising (a) at least one 2-electron oxidoreductase enzyme, (b) at least one donor for the enzyme, (c) at least one anionic surfactant chosen from eight specific categories as set forth in, e.g., claim 30, and (d) at least one oxidation base. According to the Examiner, Tomura teaches an aqueous cosmetic composition and process for treating hair or skin which employs the enzyme uricase and uric acid as the donor, and can also contain anionic surfactants and oxidation dyes. Office Action, p.3.

The Examiner admits that Tomura does not teach the specific anionic surfactants required in Applicant's claimed invention, but alleges that (a) Applicant's claimed anionic surfactants and the claimed amounts fall within the scope of those taught by Tomura, and (b) optimization of the proportions would have obvious to obtain the most effective color development. *Id.* Applicants disagree.

To establish a *prima facie* case of obviousness, three basic criteria must be met:

(1) the prior art reference(s) must teach or suggest all the claim limitations, (2) there
must be some suggestion or motivation to modify the reference(s), and (3) there must

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be a reasonable expectation of success in doing so. See M.P.E.P. § 2143 and cases cited therein. These criteria have not been met here.

First, Tomura does not teach all the present claim limitations, at least because there is no teaching or suggestion of Applicants' specific anionic surfactants in Tomura. Tomura recites anionic surfactants generally, in a list of possible additives useful in its compositions. See col. 3, lines 41-52. No preferred anionic surfactants are disclosed, and even in the examples at col. 6-7, not one of the surfactants listed is anionic, let alone an anionic surfactant in one of the eight claimed categories. Thus, the Examiner has not pointed to a single anionic surfactant and has not recited a single anionic surfactant amount. How is it possible that Applicants' claimed, specific anionic surfactants and their amounts could "fall within the scope of those taught by Tomura," when Tomura has no such teaching or even a remote suggestion?

Further, Tomura provides no suggestion or motivation to modify its composition or include one of the presently claimed anionic surfactants. As held by the Federal Circuit, "[e]ven when obviousness is based on a single prior art reference, there must be a showing of a suggestion or motivation to modify the teachings of that reference." See B.F. Goorich Co. v. Aircraft Braking Sys. Corp., 72 F.3d 1577, 1582, 37 U.S.P.Q.2d (BNA) 1314, 1318 (Fed. Cir. 1996). Here, the Examiner has failed to show any suggestion or motivation to choose Applicants' specific anionic surfactants, especially considering that Tomura does not teach or these surfactants. Such modification of the very general teachings of Tomura can hardly fall under the umbrella of obviousness.

Finally, the Examiner has provided no discussion of why, from the teaching of Tomura, one of ordinary skill in the art would have reasonably expected success if

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Applicants' anionic surfactants were used in Tomura's composition. Tomura specifically notes at column 3, lines 50-52 that the optional additives listed therein (including surfactants) can be added "in so far as they do not adversely affect the present invention." The Examiner has pointed to absolutely nothing in the reference that would have guided one of ordinary skill in the art to choose surfactants that would not "adversely affect" the delicate balance of the Tomura composition. Tomura is drawn to the stable solubilization of uric acid, and admits that "in aqueous cosmetic compositions containing surfactants and polymers, no satisfactory technique for stably solubilizing uric acid has yet been found." Column 1, lines 51-53. Tomura further, discloses that acrylic polymers at a certain pH can stably solubilize uric acid. Thus, the choice of surfactant could in fact adversely affect such a carefully balanced solubilization system. Accordingly, unless the Examiner can point to a specific motivation in the reference to add one of the eight claimed types of anionic surfactants in Tomura's composition with reasonable expectation of success, she cannot establish a *prima facie* case.

Thus, as none of the three required elements needed to establish a *prima facie* case of obviousness as set forth in M.P.E.P. 2143 have been met, withdrawal of the rejection is respectfully requested.

CONCLUSION

In view of the foregoing remarks, Applicants respectfully request the reconsideration of this application and the timely allowance of the pending claims.

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Please grant any extensions of time required to enter this response and charge any additional required fees to our deposit account 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW, GARRETT & DUNNER, L.L.P.

By:

Thalia V. Warnement Reg. No. 39,064

By:

Harry J. Guttman Reg. No. 51,762

Dated: September 26, 2002

Enclosures: -Additional Copy of Ram et al. (1995) Indian J. of Chem. Vol. 34B, pp.

514-520

-Copy of page from Classification Index

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		439	Locused
400	MEASURING, TESTING, OR INSPECTING	440	. Logwood
	DYE PROCESS	440	DYE RECOVERY PROCESS, OTHER THAN
401	USING ENZYMES, DYE PROCESS,		NOMINAL RECOVERY
	COMPOSITION, OR PRODUCT OF	441	PROCESS OF COLOR RENOVATING A
	DYEING		DYED PRODUCT
402	WOOD DYEING PROCESS	442	COLOR PROTECTING PROCESS FOR DYED
403	FUGITIVE DYE COMPOSITION, PROCESS		PRODUCT
	OR PRODUCT	443	WEIGHTING PROCESS (LOADING SILK
404	DYEING INVOLVING ANIMAL-DERIVED		WITH METAL SALTS)
	NATURAL FIBER MATERIAL (OTHER	444	DYEING PROCESS UTILIZING
	THAN SOLELY WOOL OR SILK),		ELECTRIC, MAGNETIC, OR WAVE
	E.G., LEATHER, FUR, HAIR,		ENERGY; OR PRODUCT THEREOF
	FEATHERS, ETC., COMPOSITION,	445	PROCESS OF PRINTING PERMANENTLY
	PROCESS, OR PRODUCT		ON SUBSTRATE, OTHER THAN
405	.Hair dyeing		NOMINAL PRINTING, USING PRINT
406	Oxidation dye		PASTE CONTAINING DISCHARGE
407			MATERIAL, RESIST MATERIAL, OR
407	With dye other than oxidation		DYE MATERIAL; OR STENCIL
400	dye		DYEING
408	Plural dyes or dye and	446	.Resist or reserve
	coupling agent	447	Wax
409	Heterocyclic amine dye	448	Chemically modified local areas
410	Paradiaminobenzene dye	449	Reactive dye
411	With metadiaminobenzene dye	450	Oxidation dye, e.g., aniline,
412	With aminophenol dye	430	nitroaniline, etc.
414	Nitroaniline dye	451	· ·
415	Nitrophenylenediamine dye	451	Azo dye component ground
416	Aryldiamine dye	452	Mordant dye, e.g., dye with a
421	Aminophenol dye	450	metal chelating group, etc.
423	Aminoheterocyclic dye	453	Vat dye or sulfur dye, e.g.,
424	Phenols (natural oxidation		quinonic or indigoid reducible
	dye)		dye, sulfur organic reaction
425	Mordant, solvent dye formation		product dye, etc.
	or metallized azo dye	454	Basic dye, including
426	Basic dye, including		diphenylmethane,
	diphenylmethane,		triphenylmethane, xanthene,
	triphenylmethane, xanthene,		fluorene, methine, acridine,
	fluorene, methine, acridine,		oxazine, phenazine, flavylium,
	oxazine, phenazine, flavylium,		napthoperinone,
	naphthoperinone,		quinophthalone, quaternary
	quinophthalone, quaternary		ammonium group, etc.,
	ammonium group, etc.,	455	containing
	containing	455	Acid (including direct) dye,
428	Dye reactive with hair		e.g., sulfonated, sulfamated,
429	Developed on the hair	456	etc.
431	With fluid treatment, e.g.,	456	Disperse dye
	bleaching with dyeing, etc.	457	.Discharge utilized
432	S-S- bond disruption, e.g.,	458	Chemically modified local areas
	use of thioglycolates, etc.	459	Oxidation dye, e.g., aniline,
433	Swelling of hair		nitroaniline, etc.
435	Solvent assisted dyeing	460	Mordant dye
436	Leather dyeing		
437	Azo dye		
438	PROCESS OF EXTRACTING OR		
	PURIFYING OF NATURAL DYE		